



Q3 CY 2022 BRIEFING
for
INVESTMENT RESEARCH
MULTI-ASSET

Presented by :

Falconstar Investments (India) Pvt. Ltd.

Ph: (M) +971-55-8796-185

(M) + 91-9023661660

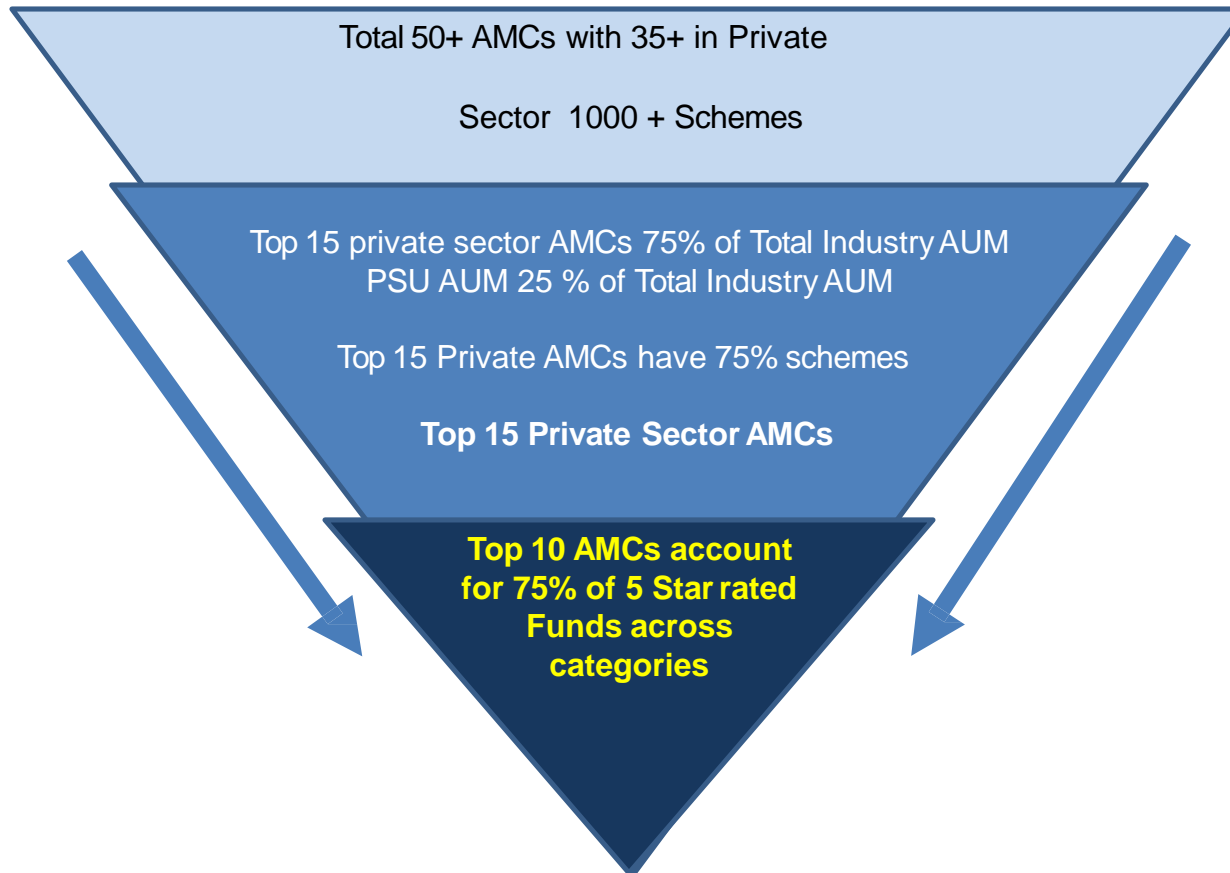
Email : 'wealth@falconstarinvestments.com'

Website : 'www.falconstarinvestments.com'

INVESTMENT LEADERSHIP THROUGH INSIGHT & FORESIGHT

Sr.No	TABLE OF CONTENTS	Pg.No.
1	INTRODUCTION & DEVELOPMENTS	3
	▪Terms of Business with AMCs	
2	INDIAN INVESTMENTS & INSURANCE	4 – 10
	▪Debt MFs	
	▪Bonds & NCDs	
	▪Fixed Deposits (FDs)	
	▪Equity MFs	
	▪Theme based – Children Gift, ELSS, PPF	
	▪Insurance Plans	
3	INTERNATIONAL & OTH	11 – 13
	▪SIP Plans	
	▪Structured Note - 4 Options	

APPROVED AMCs & TERMS OF BUSINESS WITH FALCONSTAR WEALTH





SECTION II
INDIA INVESTMENTS & INSURANCE

WHITE LIST – MUTUAL FUNDS (DEBT)

Sr. No.	Scheme Name	Fund Category	Fund Manager	AUM	Rating	3 M	1 Y	3 Y	5 Y
1	Kotak Liquid Fund	Liquid	Deepak Agrawal	31,212	**	1.25%	4.05%	4.03%	5.25%
2	HDFC Liquid Fund	Liquid	Anupam Joshi	54,964	**	1.23%	3.95%	3.92%	5.14%
3	Axis Money Market Fund	Money Market	Aditya Pagaria	4,421	****	1.20%	3.93%	4.94%	-
4	HDFC Corporate Bond Fund	Corporate Bond	Anupam Joshi	21,578	****	1.78%	2.10%	6.35%	6.85%
5	HDFC Short Term Debt Fund	Short Duration	Anil Bamboli	13,619	****	1.46%	2.87%	6.76%	7.14%
6	ICICI Prudential Ultra Short Term Fund	Short Duration	Manish Banthia	14,808	*****	1.29%	4.31%	5.79%	6.70%
7	SBI Magnum Low Duration	Low Duration	Rajeev Radhakrishnan	8,938	***	1.24%	3.63%	5.32%	6.30%



WHITE LIST – BONDS & NCDs

SR. no.	Instrument	Maturity	PUT / CALL	INT. PAYMENT DATE	PRICE PER 100	YTC	YTM	QUANTUM	RATINGS
1	UP Power Corporation Ltd 2031	Staggered Maturity	NA	Quarterly	102.26	NA	9.62%	10 Lac & Multiple	A+ by CRISIL & IND
2	Bank Of Baroda Perp	Perpetual	28-Nov-24	Annually	102.67	7.30%	NA	10 Lacs & Multiple	AA+ By Crisil & India Ratings
3	Indian Railway Finance Corporation Ltd. 2040	14913	NA	Annually	94.51	NA	0.074	10 Lacs Only	AAA By CRISIL , CARE & ICRA
4	Hindustan Petroleum Corporation Ltd. (HPCL) 2032	13-Apr-32	NA	Annually	103.59	NA	7.26%	10 Lac Only	AAA by CRISIL, ICRA and India Ratings
5	Tata Capital Financial Services Ltd. Perp	29-Sep-31	08-Mar-27	Annually	104.69	7.73%	NA	10 Lacs & Multiple	AA+/Stable by ICRA & CARE
6	Mahindra & Mahindra Financial Services Ltd. 2032	24-Jul-32	24-Jul-27	Annually	100.7	7.86%	7.93%	10 Lac & Multiple	AAA INDs & Research ltd & BWR
7	State Bank of India Perp	Perpetual	02-Sep-27	Annually	100.05	7.73%	NA	1 Cr & Multiple	AA+ by CRISIL & ICRA
8	Power Finance Corporation Ltd. 2032	08-Sep-32	NA	Annually	100.33	NA	7.36%	10 Lacs & Multiple	AAA by CRISIL & ICRA
9	HDFC Credila Financial Services Pvt. Ltd. 2032	07-Jul-32	NA	Annually	102.62	NA	7.74	10 Lac & Multiple	AAA by CARE
10	Rural Electrification Corporation Ltd. 2030	11124	NA	Annually	102.96	NA	0.0743	10 lacs & Multiple	AAA by CRISIL, ICRA & CARE
11	Bajaj Finance 2032	11940	NA	Annually	100.45	NA	0.0774	10 Lacs & Multiple	AAA BY CRISIL & INDIA
12	State Bank of India Perp	Perpetual	02-Sep-27	Annually	99.5	7.86%	NA	1 Cr & Multiple	AA+ by CRISIL & ICRA

WHITE LIST – FIXED DEPOSITS



Effective September 30, 2022.

REGULAR DEPOSITS (Fixed & Variable Rates) Deposits upto ₹2 crore (p.a)

Period	Monthly Income Plan	Quarterly Option	Half-Yearly Option	Annual Income Plan	Cumulative Option
12-23 Months	5.80%	5.90%	5.55%	-	6.05%
24-35 Months	6.45%	6.50%	6.55%	6.65%	6.65%
36-59 Months	6.50%	6.55%	6.60%	6.70%	6.70%
60-83 Months	6.60%	6.65%	6.70%	6.80%	6.80%
84-120 Months	6.70%	6.75%	6.80%	6.90%	6.90%
Minimum Amount (₹)	₹ 40,000	₹ 20,000	₹ 20,000	₹ 20,000	₹ 20,000

SPECIAL DEPOSITS (Fixed Rates) Deposits upto ₹2 crore (p.a)

Period	Monthly Income Plan	Quarterly Option	Half-Yearly Option	Annual Income Plan	Cumulative Option
33 Months	6.65%	6.70%	6.75%	6.85%	6.85%



Effective September 30, 2022.

Annual rate of interest valid for deposits up to ₹5 Crore (w.e.f. 1 July 2022)

Tenure in Months	Cumulative	Non-Cumulative			
		Monthly	Quarterly	Half Yearly	Annual
12-23 Months	6.35%	6.17%	6.20%	6.25%	6.35%
24-35 Months	6.95%	6.74%	6.78%	6.83%	6.95%
36-60 Months	7.40%	7.16%	7.20%	7.27%	7.40%
Minimum Amount (₹) 25,000					

WHITE LIST – MUTUAL FUNDS (EQUITY)

Sr. No.	Scheme Name	Fund Category	Fund Manager	AUM	Rating	3-M	1-Y	3-Y	5-Y	Alpha	Treynor Ratio	Sharpe Ratio	Beta
1	Kotak Bluechip Fund	Large cap	Harish Krishnan	4,997	*****	7.67%	-4.31%	16.68%	13.26%	0.68	0.17	0.74	0.95
2	HDFC NIFTY Next 50 Index Fund	Large cap	Arun Agarwal	385	Unrated	14.47%	-	-	-	-	-	-	-
3	Axis Nifty 100 Index Fund	Index	Ashish Naik	872	Unrated	8.97%	-2.78%	-	-	-2.1	0.14	0.62	0.98
4	HDFC Index Fund Nifty 50 Plan	Index	Arun Agarwal	6,903	***	7.76%	-3.73%	14.76%	12.82%	-0.54	0.15	0.67	0.99
5	Kotak Flexicap Fund	Flexi Cap	Harsha Upadhyaya	37,435	***	9.02%	-2.75%	13.96%	11.78%	-3.16	0.14	0.64	0.97
6	HDFC Flexi cap Fund	Flexi Cap	Roshi Jain	30,473	***	10.83%	10.14%	18.62%	13.85%	0.24	0.18	0.77	1
7	HDFC Multi Cap Fund	Multi Cap	Gopal Agarwal	5,502	Unrated	13.07%	-	-	-	-	-	-	-
8	Quant Active Fund	Multicap	Ankit A Pande	2,857	Unrated	16.08%	7.81%	36.13%	23.32%	11.00	0.32	1.32	0.94
9	Axis Midcap Fund	Mid Cap	Shreyash Devalkar	19,504	*****	12.18%	-2.64%	21.03%	17.39%	0.51	0.25	1.09	0.8
10	Kotak Emerging Equity Fund	Mid Cap	Pankaj Tibrewal	21,935	****	13.77%	7.03%	26.22%	16.73%	0.32	0.19	0.76	0.96
11	SBI Small Cap Fund	Small Cap	R. Srinivasan	14,044	****	15.68%	14.02%	30.24%	19.64%	7.99	-	0.89	0.75
12	Mirae Asset Emerging Bluechip Fund	Large & MidCap	Ankit Jain	23,510	*****	7.27%	-4.66%	21.06%	15.95%	0.07	0.2	0.91	0.98
13	ICICI Prudential Technology Fund	Sectoral-Banking	Vaibhav Dusad	8,712	Unrated	-2.81%	-20.36%	30.76%	26.93%	8.52	0.28	1.05	0.89
14	ICICI Prudential Pharma Healthcare And Diagnostics (P.H.D) Fund	Sectoral-Pharma	Dharmesh Kakkad	2,465	Unrated	3.91%	-10.35%	26.55%	-	4.56	0.23	1.11	0.88
15	Mirae Asset Healthcare Fund	Sectoral-Pharma	Vrijesh Kasera	1,723	Unrated	6.42%	-7.81%	29.76%	-	6.09	0.25	1.2	0.91

HDFC CHILDREN'S GIFT FUND



EQUITY LINKED SAVING SCHEME (ELSS)

Sr. No.	Scheme Name	Fund Category	Fund Manager	AUM	Rating	3-M	1-Y	3-Y	5-Y
1	Axis Long term Equity Fund	ELSS	Jinesh Gopani	32,654	***	11.09%	-13.67%	12.63%	12.45%
2	Kotak Tax saver Fund	ELSS	Harsha Upadhyaya	2,936	****	10.70%	2.75%	18.94%	14.32%
3	Invesco India Tax Plan	ELSS	Amit Nigam	1,903	***	8.01%	-8.44%	15.45%	12.91%
4	HDFC Tax Saver Fund	ELSS	Roshi Jain	9,788	**	9.68%	5.45%	15.98%	10.09%
5	Mirae Asset Tax Saver	ELSS	Neelesh Surana	13,148	*****	6.96%	-4.17%	20.25%	16.26%

PUBLIC PROVIDENT FUND (PPF)

Tenure	15 years (Can be renewed in blocks of 5 years)
Interest rate	7.10%
Investment Amount	Minimum Rs.500, Maximum Rs.1.5 lakh p.a.
Maturity Amount	Depends on the investment tenure

LIFE INSURANCE



Click 2 Protect 3D Plus



iProtect Smart



Jiyo Befikar

Smart Protect Goal

HEALTH INSURANCE



Optima Restore



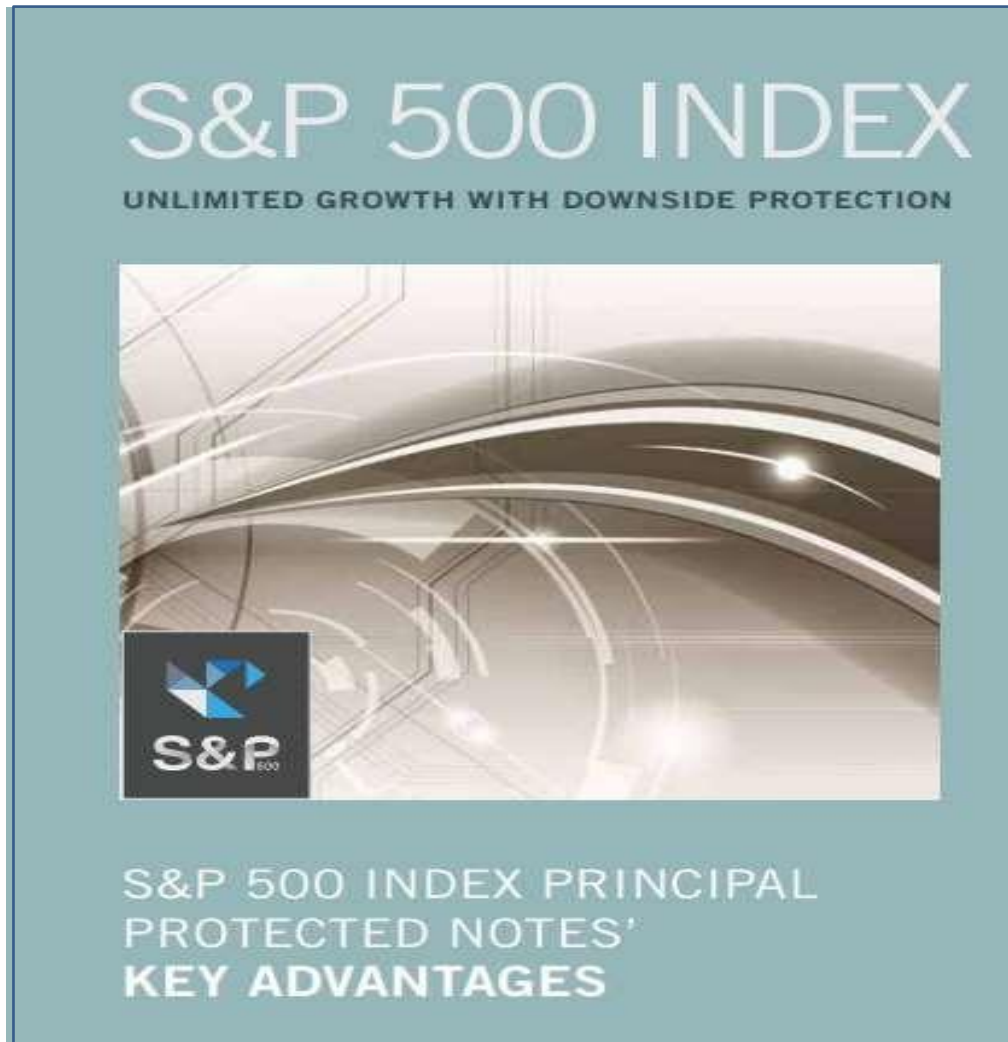
Relationship Beyond Insurance

Health Guard Policy




SECTION III
GLOBAL INVESTMENTS

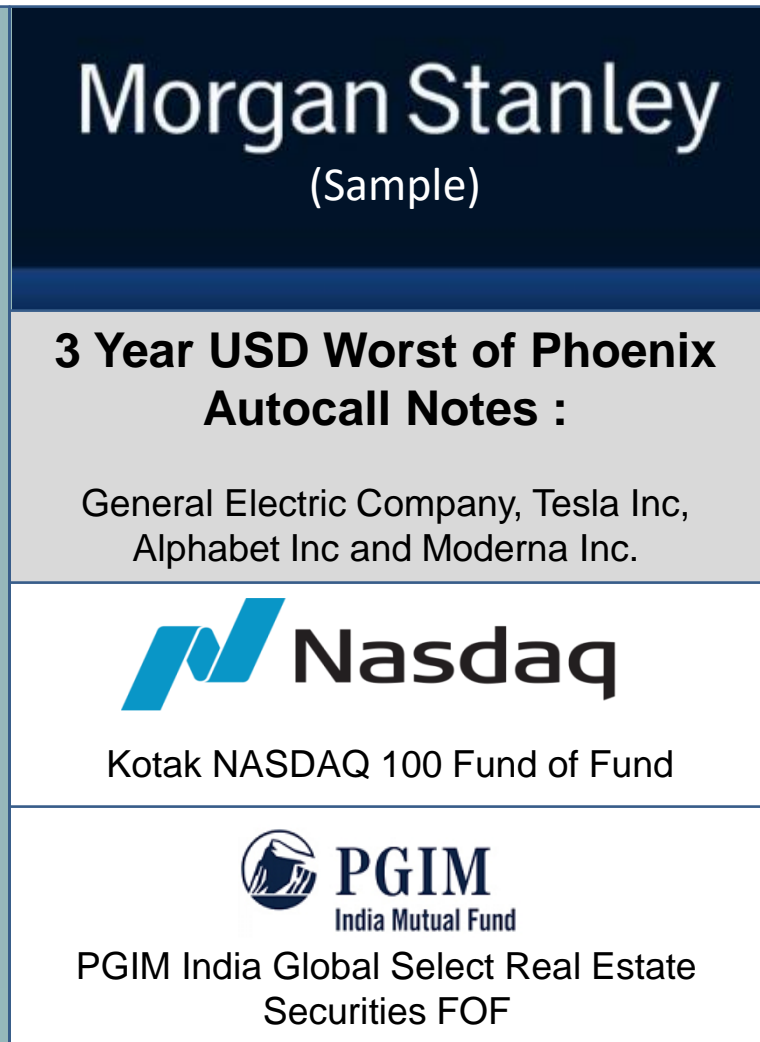
PRINCIPAL PROTECTED STRUCTURED NOTES



S&P 500 INDEX
UNLIMITED GROWTH WITH DOWNSIDE PROTECTION




**S&P 500 INDEX PRINCIPAL
PROTECTED NOTES'
KEY ADVANTAGES**



Morgan Stanley
(Sample)


**3 Year USD Worst of Phoenix
Autocall Notes :**

General Electric Company, Tesla Inc,
Alphabet Inc and Moderna Inc.



Nasdaq

Kotak NASDAQ 100 Fund of Fund



PGIM
India Mutual Fund

PGIM India Global Select Real Estate
Securities FOF

PRINCIPAL PROTECTED STRUCTURED NOTES

Auto call Trigger - Large cap Income	
Tenor	24 Months
Underlying	Tesla, MSFT, AAPL
Coupon	12%
Auto call Trigger	125%
Redemption Amount	100%

Growth Participation - Technology	
Tenor	24 Months
Underlying	MSFT, AAPL, FB
Cap Guarantee	75%
Upside Participation	200%
Strike Price	87.50%

Growth Participation - Healthcare	
Tenor	24 Months
Underlying	Merc, Novartis, Abbvie
Cap Guarantee	90%
Upside Participation	200%
Strike Price	95%

Real Estate Linked	
Tenor	24 Months
Underlying	Vanguard Real, Simon Property
Barrier	80%
Upside Participation	180%
Minimum Redemption	200%

iShares[®]
by BLACKROCK[®]

iShares U.S. Real Estate ETF

Vanguard[®]

Vanguard Real Estate ETF

CONTACT US:

‘wealth@falconstarinvestments.com’ India: +91-90236-61660
‘www.falconstarinvestments.com’
Date: 30th Sept. 2022 Overseas: +971-55-8796-185

THANK YOU.

DISCLAIMER

This presentation has been prepared solely for discussion purposes and does not constitute an offer, an invitation or a recommendation to enter into any transaction and does not constitute investment advice. Terms and conditions herein are indicative and subject to change and / or negotiation between us. These materials do not constitute an offer for sale of securities anywhere in the world or a solicitation of any such offer. Full details of the final terms and conditions of these instruments will be contained in a prospectus or other offering document. This presentation and the information contained herein may only be distributed and published in jurisdictions in which such distribution and publication is permitted. You may not distribute this document, in whole or part, without our prior, express written permission.

Further, any projections of potential risk or return are illustrative and should not be taken as limitations of the maximum possible gain or loss. The information contained herein is not intended to be an exhaustive discussion of the strategies or concepts mentioned herein or tax or legal advice. Opinions expressed herein are not intended to be a forecast of future events, a guarantee of future events or investment advice, and are subject to change based on market and other conditions. In any case, past performance is not a guarantee of future results, and future events may not meet our expectations due to variety of economic, market and other factors.

Falconstar Investments provides services to Professional Clients only. Therefore, all communications are targeted at Professional Clients only. Persons other than Professional Clients, such as Retail Clients must consult their Financial Advisor & not act upon or rely upon the content of this communication.