



**Q4 CY 2021 BRIEFING**  
*for*  
**INVESTMENT RESEARCH**  
MULTI-ASSET

**Presented by :**

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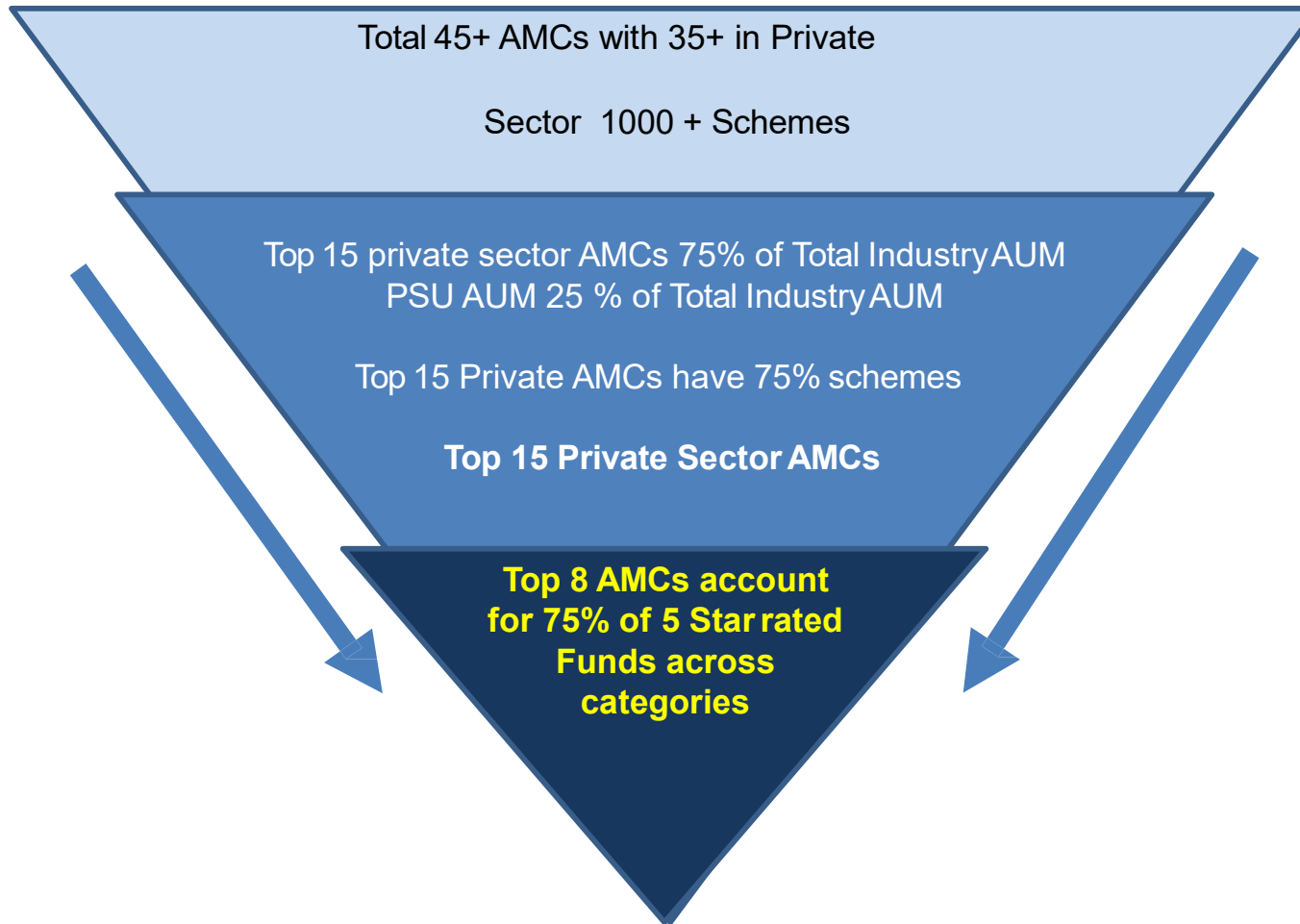
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**INVESTMENT LEADERSHIP THROUGH INSIGHT & FORESIGHT**

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**APPROVED AMCs & TERMS OF BUSINESS WITH FALCONSTAR WEALTH**





## SECTION II

### INDIA INVESTMENTS & INSURANCE

## WHITE LIST – MUTUAL FUNDS (DEBT)

Sr. No.	Scheme Name	Fund Category	Fund Manager	AUM	Rating	3 M	1 Y	3 Y	5 Y
1	Kotak Liquid Fund	Liquid	Deepak Agrawal	31,850	***	0.83%	3.23%	4.61%	5.56%
2	HDFC Liquid Fund	Liquid	Anupam Joshi	54,646	***	0.85%	3.32%	4.72%	5.61%
3	Axis Money Market Fund	Money Market	Aditya Pagaria	3,480	****	0.85%	3.74%	-	-
4	HDFC Corporate Bond Fund	Corporate Bond	Anupam Joshi	28,622	****	0.64%	4.07%	8.62%	7.82%
5	HDFC Short Term Debt Fund	Short Duration	Anil Bamboli	18,982	*****	0.64%	4.01%	8.13%	7.60%
6	ICICI Prudential Ultra Short Term Fund	Short Duration	Manish Banthia	11,896	*****	0.85%	3.99%	6.31%	6.68%
7	SBI Magnum Low Duration	Low Duration	Rajeev Radhakrishnan	12,841	***	0.66%	3.19%	6.05%	6.45%



## WHITE LIST – BONDS & NCDs

Sr. No	INSTRUMENT	MATURITY	PUT / CALL	INT. PAYMENT DATE	PRICE PER 100	YTC	YTM	QUANTUM	RATINGS
1	State Bank of India	09-Sep-25	NA	09-Sep	101.99	NA	7.09%	10 Lacs & Multiple	AA+ by CRISIL & IND
2	HDB Financial Services	07-Sep-28	NA	07-Sep	108.02	NA	7.56%	10 Lacs & Multiple	AAA by CRISIL & CARE
3	Rural Electrification Corporation Ltd. (REC) 2030	15-Jun-30	NA	15-Jun	106.41	NA	6.92%	10 Lacs & Multiple	AAA by CRISIL, ICRA & CARE
4	HDFC Credila Financial Services Pvt. Ltd. 2024	17-Jun-24	NA	17-Jun	103.21	NA	7.11%	10 Lacs Only	AAA/Stable by CRISIL
5	L&T Finance 2024	14-Jun-24	NA	14-Jun	103.02	NA	6.66%	25 Lacs & Multiple	AAA CRISIL & ICRA
6	Bajaj Finance 2031	18-Apr-31	NA	19-Apr	98.93	NA	7.17%	10 Lacs & Multiple	AAA by IND & CRISIL
7	Axis Finance Ltd.2027	11-May-27	NA	11-May	104.18	NA	7.51%	10 Lacs Only	AAA/Stable by CRISIL & IND

## WHITE LIST – FIXED DEPOSITS



### REGULAR DEPOSITS (Fixed & Variable Rates) Deposits upto ₹2 crore (p.a.)

Period	Monthly Income Plan	Quarterly Option	Half-Yearly Option	Annual Income Plan	Cumulative Option
12-23 Months	5.50%	5.55%	5.60%	-	5.70%
24-35 Months	5.65%	5.70%	5.75%	5.85%	5.85%
36-59 Months	5.85%	5.90%	5.95%	6.05%	6.05%
60-83 Months	6.20%	6.25%	6.30%	6.40%	6.40%
84-120 Months	6.35%	6.40%	6.45%	6.55%	6.55%
Minimum Amount (₹)	₹ 40,000	₹ 20,000	₹ 20,000	₹ 20,000	₹ 20,000

### SPECIAL DEPOSITS (Fixed Rates) Deposits upto ₹2 crore (p.a.)

Period	Monthly Income Plan	Quarterly Option	Half-Yearly Option	Annual Income Plan	Cumulative Option
33 Months	6.00%	6.05%	6.10%	6.20%	6.20%

Annual rate of interest valid for deposits up to Rs.5 crore (w.e.f 12 May 2021)

Tenure in Months	Cumulative	Non-Cumulative			
		Monthly	Quarterly	Half Yearly	Annual
12 – 23	5.65%	5.51%	5.53%	5.57%	5.65%
24 – 35	6.10%	5.94%	5.97%	6.01%	6.10%
36 - 60	6.50%	6.31%	6.35%	6.40%	6.50%
Minimum Amount (₹) 25,000					

## WHITE LIST – MUTUAL FUNDS (EQUITY)

Sr. No	Scheme Name	Fund Category	Fund Manager	AUM	Rating	3-M	1-Y	3-Y	5-Y	Alpha	Treynor Ratio	Sharpe Ratio
1	Axis Bluechip Fund	Largecap Fund	Sherayas Devalkar	33,519	*****	-4.66%	20.76%	19.35%	20.38%	4.21	1.4	0.89
2	Axis Midcap Fund	Mid Cap	Shreyas Devalkar	16,101	*****	-1.47%	38.29%	24.82%	23.71%	6.21	0.28	1.14
3	Axis Nifty 100 Index Fund	Large Cap	Ashish Naik	615	Unrated	-4.04%	24.66%	-	-	-	0.19	-
3	Kotak Emerging Equity Fund	Mid Cap	Pankaj Tibrewal	16,705	****	0.51%	45.52%	24.47%	19.73%	2.03	0.26	0.91
4	Kotak Flexicap Fund	Flexi Cap	Harsha Upadhyaya	36,661	***	-3.65%	24.91%	15.94%	16.07%	-2.07	0.14	0.62
6	HDFC Flexi cap Fund	Flexi Cap	Prashant Jain	26,106	**	-0.88%	35.66%	15.55%	15.36%	-3.24	-	0.68
7	HDFC Index Fund Nifty 50 Plan	Large Cap	Krishnan Kumar Daga	4,164	****	-4.22%	24.91%	17.26%	17.10%	-0.68	1.25	0.65
8	Invesco India Contra Fund	Value Oriented	Dhimant Kothari	8,270	****	-1.66%	29.20%	18.19%	18.81%	0.65	0.16	0.7
10	ICICI Prudential Technology Fund	Sectoral-Banking	Vaibhav Dusad	7,387	Unrated	5.70%	74.58%	44.82%	34.36%	5.71	0.39	1.5
11	ICICI Prudential Pharma Healthcare And Diagnostics (P.H.D) Fund	Sectoral-Pharma	Dharmesh Kakkad	2,991	Unrated	-4.32%	13.98%	27.31%	-	4.18	0.26	1.03
12	Mirae Asset Healthcare Fund	Sectoral-Pharma	Vrijesh Kasera	1,845	Unrated	-1.65%	22.20%	31.65%	-	7.79	-	1.22
13	SBI Small Cap Fund	Small Cap	R. Srinivasan	10,878	****	3.22%	46.29%	27.23%	24.38%	7.55	-	1.01



## HDFC CHILDREN'S GIFT FUND



## EQUITY LINKED SAVING SCHEME (ELSS)

Sr. No	Scheme Name	Fund Category	Fund Manager	AUM	Rating	3-M	1-Y	3-Y	5-Y
1	Axis Long term Equity Fund	ELSS	Jinesh Gopani	33,529	****	-5.86%	24.03%	19.46%	19.49%
2	Kotak Tax saver Fund	ELSS	Harsha Upadhyaya	2,335	****	-0.61%	32.38%	19.72%	17.43%
3	Invesco India Tax Plan	ELSS	Amit Nigam	1,859	****	-0.94%	31.93%	19.58%	18.33%
4	Mirae Asset Tax Saver	ELSS	Neelesh Surana	10,087	*****	-2.40%	35.00%	22.94%	22.05%

## PUBLIC PROVIDENT FUND (PPF)

Tenure	15 years (Can be renewed in blocks of 5 years)
Interest rate	7.10%
Investment Amount	Minimum Rs.500, Maximum Rs.1.5 lakh p.a.
Maturity Amount	Depends on the investment tenure

## LIFE INSURANCE



**Click 2 Protect 3D Plus**



**iProtect Smart**



**Smart Protect Goal**

## HEALTH INSURANCE



**Optima Restore**

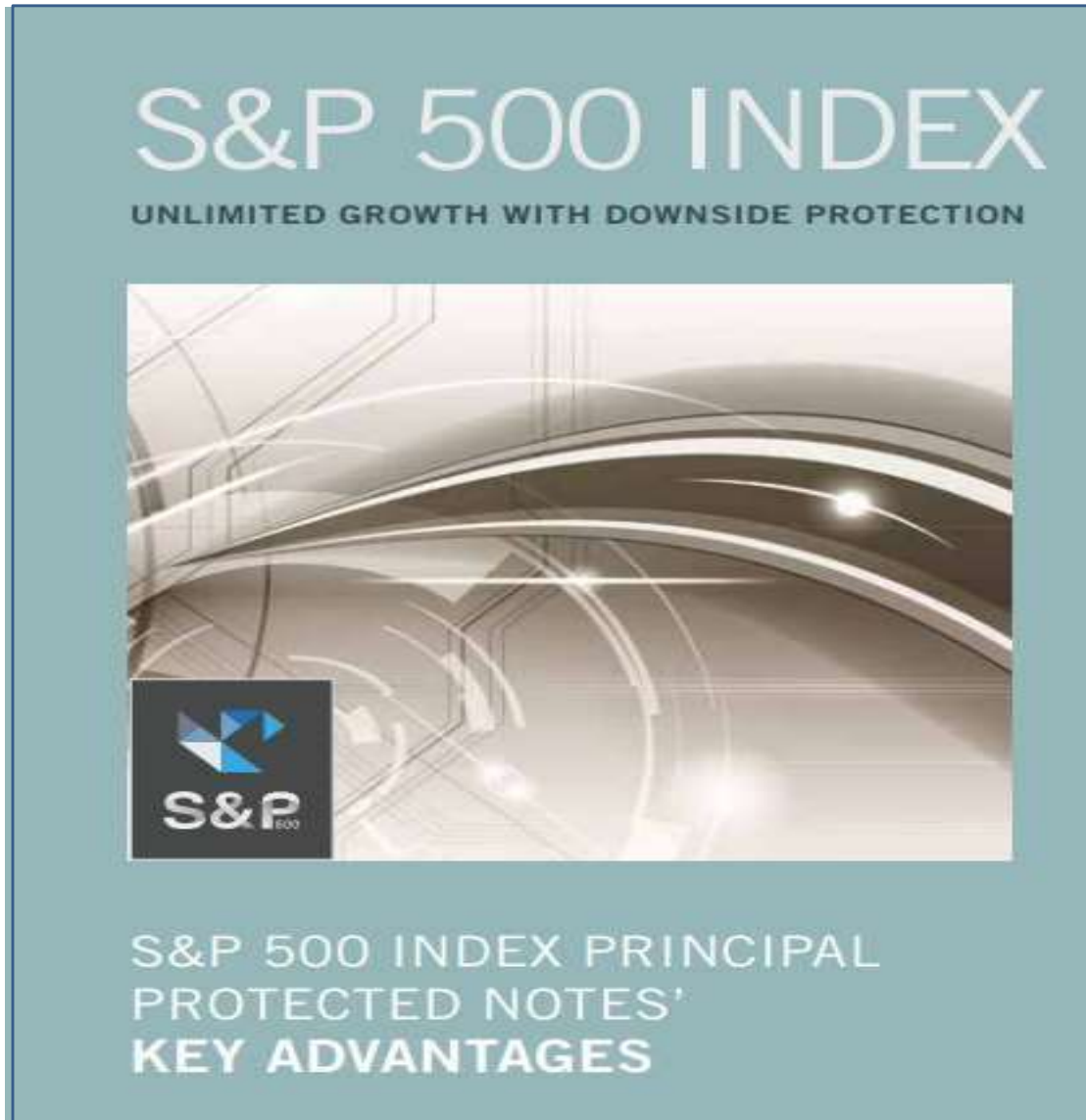


**Health Guard Policy**



**SECTION III**  
**GLOBAL INVESTMENTS**

**PRINCIPAL PROTECTED STRUCTURED NOTES**



**S&P 500 INDEX**  
UNLIMITED GROWTH WITH DOWNSIDE PROTECTION

**S&P 500**

**S&P 500 INDEX PRINCIPAL PROTECTED NOTES' KEY ADVANTAGES**

**Morgan Stanley**  
(Sample)

**3 Year USD Worst of Phoenix Autocall Notes :**  
General Electric Company, Tesla Inc, Alphabet Inc and Moderna Inc.



**Nasdaq**  
Kotak NASDAQ 100 Fund of Fund

**Motilal Oswal MSCI EAFE Top 100 Select Index Fund**

<b>Auto call Trigger - Large cap Income</b>	
Tenor	24 Months
Underlying	Tesla, MSFT, AAPL
Coupon	12%
Auto call Trigger	125%
Redemption Amount	100%

<b>Growth Participation - Technology</b>	
Tenor	24 Months
Underlying	MSFT, AAPL, FB
Cap Guarantee	75%
Upside Participation	200%
Strike Price	87.50%

<b>Growth Participation - Healthcare</b>	
Tenor	24 Months
Underlying	Merc, Novartis, Abbvie
Cap Guarantee	90%
Upside Participation	200%
Strike Price	95%

<b>Real Estate Linked</b>	
Tenor	24 Months
Underlying	Vanguard Real, Simon Property
Barrier	80%
Upside Participation	180%
Minimum Redemption	200%

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### THANK YOU. ■

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