



Q2 CY 2023 BRIEFING
for
INVESTMENT RESEARCH
MULTI-ASSET

Presented by :

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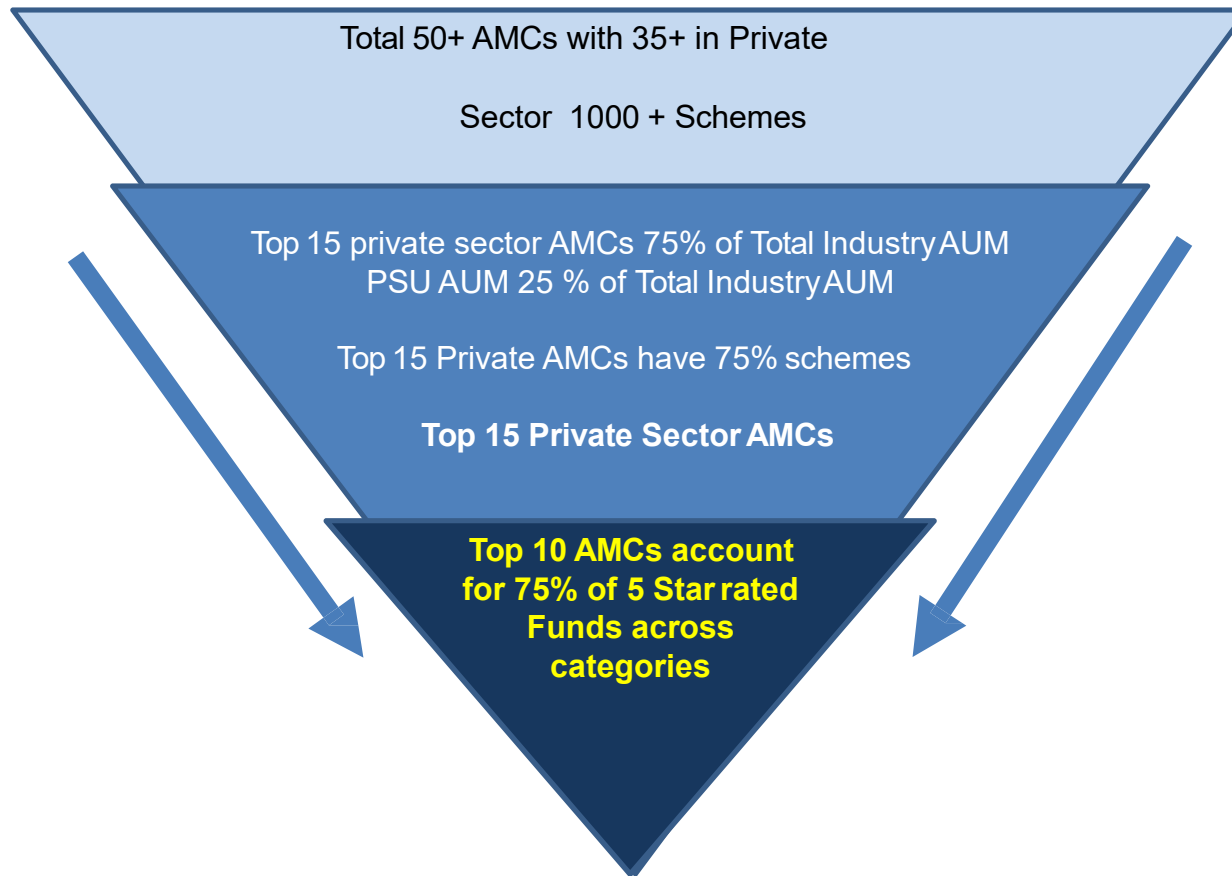
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INVESTMENT LEADERSHIP THROUGH INSIGHT & FORESIGHT

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APPROVED AMCs & TERMS OF BUSINESS WITH FALCONSTAR WEALTH





SECTION II
INDIA INVESTMENTS & INSURANCE

WHITE LIST – MUTUAL FUNDS (DEBT)

| Sr. No. | Scheme Name | Fund Category | Fund Manager | AUM | Rating | 3 M | 1 Y | 3 Y | 5 Y | YTM |
|---------|--|----------------|----------------|--------|--------|-------|-------|-------|-------|------|
| 1 | HDFC Liquid Fund | Liquid | Anupam Joshi | 60,619 | * | 1.76% | 6.35% | 4.32% | 5.14% | 6.81 |
| 2 | HDFC Corporate Bond Fund | Corporate Bond | Anupam Joshi | 27,076 | *** | 2.35% | 7.39% | 5.12% | 7.48% | 7.6 |
| 3 | ICICI Prudential Ultra Short Term Fund | Short Duration | Manish Banthia | 21,489 | ***** | 2.23% | 7.72% | 5.50% | 7.33% | 7.84 |

WHITE LIST – MUTUAL FUNDS (GOLD)

| Sr. No. | Scheme Name | Fund Category | Fund Manager | AUM | Rating | 3-M | 1-Y | 3-Y | 5-Y | Alpha | Treynor Ratio | Sharpe Ratio | Beta |
|---------|----------------|---------------|--------------|------|---------|--------|--------|-------|--------|-------|---------------|--------------|------|
| 1 | HDFC Gold Fund | Gold | Arun Agarwal | 1553 | Unrated | -2.68% | 12.38% | 4.71% | 12.37% | -0.77 | 4.08 | 0.3 | 0.97 |

WHITE LIST – BONDS & NCDs

| SR. No. | INSTRUMENTS | MATURITY | PUT / CALL | INT. PAYMENT DATE | PRICE PER 100 | YTC | YTM | QUANTUM | RATINGS |
|---------|---|---------------|------------|------------------------|---------------|-----|-------|------------------|--|
| 1 | 7.74% Hindustan Petroleum Corporation Limited | 02-Mar-28 (M) | NA | Annually | 10 Lacs | NA | 7.24% | 10 Lacs Only | AAA By ICRA,AAA By CRISIL |
| 2 | 8.54% Rural Electrification Corporation Limited | 15-Nov-28 (M) | NA | HalfYearly | 10 Lacs | NA | 7.34% | 5 Cr & Multiple | AAA By IND,AAA By CRISIL,AAA By ICRA,AAA By CARE |
| 3 | 7.58% Bharat Petroleum Corporation Limited | 17-Mar-26 (M) | NA | Annually | 10 Lacs | NA | 7.38% | 10 Lacs Only | AAA By CRISIL,AAA By CARE |
| 4 | 8.75% LIC Housing Finance Limited | 08-Dec-28 (M) | NA | Annually | 10 Lacs | NA | 7.60% | 10 Lacs Multiple | AAA By CARE,AAA By CRISIL |
| 5 | Cholamandalam Inv and Fin Co Ltd | 04-May-28 | NA | 04th May Annually | 10 Lacs | NA | 8.20% | 2 Lac multiples | AA+ |
| 6 | HDB Financial Services Ltd | 07-Sep-28 | NA | 7th September Annually | 10 Lacs | NA | 8.15% | 10 Lacs Multiple | AAA |
| 7 | HDFC Credila Financial Services Ltd | 27-Jan-25 | NA | 27th January Annually | 10 Lacs | NA | 8.20% | 10 Lacs Multiple | AAA |
| 8 | L&T Finance Ltd | 28-Mar-25 | NA | 30th March Annually | 1 Lacs | NA | 7.90% | 10 Lacs Only | AAA |
| 9 | Mahindra & Mahindra Financial Services Ltd | 31-Jan-25 | NA | 2nd February Annually | 10 Lacs | NA | 8.00% | 10 Lacs Multiple | AAA |
| 10 | Tata Capital Financial Services Ltd | NA | 16-Jul-25 | 16th July Annually | 10 Lacs | NA | 8.15% | 10 Lacs Multiple | AA+ |

WHITE LIST – FIXED DEPOSITS



We understand your world

Applicable from 29th May, 2023

| REGULAR DEPOSITS (Fixed & Variable Rates) Deposits upto ₹2 crore (p.a.) | | |
|---|--------------------|------------------------------------|
| Period | Annual Income Plan | **Senior Citizen Rates (per annum) |
| 1 year to < 15 months | 6.60% | 7.10% |
| 15 months to < 18 months | 7.10% | 7.60% |
| 18 months to < 35 months | 7.00% | 7.50% |
| 35 Months | 7.20% | 7.70% |
| 35 months 1 day < 55 months | 7.00% | 7.50% |
| 55 months | 7.25% | 7.75% |
| 55 months 1 day <= 60 months | 7.00% | 7.50% |
| 5 years 1 day- 10 years | 7.00% | 7.75%* |



| Tenure in Months | Cumulative | Non-Cumulative | | | |
|---------------------------|------------|----------------|-----------|-------------|--------|
| | | Monthly | Quarterly | Half Yearly | Annual |
| 12 -- 14 | 7.40% | 7.16% | 7.20% | 7.27% | 7.40% |
| >15 -- 23 | 7.50% | 7.25% | 7.30% | 7.36% | 7.50% |
| 24 | 7.55% | 7.30% | 7.35% | 7.41% | 7.55% |
| 25 -- 35 | 7.35% | 7.11% | 7.16% | 7.22% | 7.35% |
| 36 -- 60 | 8.05% | 7.77% | 7.82% | 7.89% | 8.05% |
| Minimum Amount (₹) 15,000 | | | | | |

WHITE LIST – MUTUAL FUNDS (EQUITY)

| Sr. No. | Scheme Name | Fund Category | Fund Manager | AUM | Rating | 3-M | 1-Y | 3-Y | 5-Y | Alpha | Treynor Ratio | Sharpe Ratio | Beta |
|---------|---|---------------------|-----------------|--------|---------|--------|--------|--------|--------|-------|---------------|--------------|------|
| 1 | Quant Mid Cap Fund | Mid Cap | Ankit A Pande | 1,973 | ***** | 13.94% | 27.99% | 37.83% | 20.60% | 2.46 | 0.29 | 1.49 | 0.94 |
| 2 | Kotak Emerging Equity Fund | Mid Cap | Pankaj Tibrewal | 27,871 | **** | 14.68% | 27.00% | 32.54% | 17.49% | 2.91 | 0.30 | 1.68 | 0.82 |
| 3 | HDFC Mid-Cap Opportunities Fund | Mid Cap | Chirag Setalvad | 39,296 | **** | 20.59% | 42.43% | 35.64% | 16.75% | 2.20 | 0.29 | 1.63 | 0.91 |
| 4 | HDFC Index Fund Nifty 50 Plan | Index | Arun Agarwal | 8,650 | *** | 12.78% | 22.57% | 24.19% | 13.30% | -0.44 | 0.18 | 1.12 | 1 |
| 5 | HDFC NIFTY Next 50 Index Fund | Index | Arun Agarwal | 393 | Unrated | 17.21% | 19.35% | - | - | - | - | - | - |
| 6 | SBI Small Cap Fund | Small Cap | R. Srinivasan | 17,572 | *** | 14.71% | 27.25% | 36.31% | 19.25% | 5.52 | 0.38 | 1.92 | 0.71 |
| 7 | Quant Small Cap Fund | Small Cap | Ankit A Pande | 4,688 | ***** | 18.19% | 40.24% | 57.72% | 25.86% | 14.36 | 0.45 | 2.13 | 1.00 |
| 8 | ICICI Prudential Technology Fund | Sectoral-Technology | Vaibhav Dusad | 10,726 | *** | 8.03% | 8.36% | 36.08% | 20.29% | 11.73 | 0.3 | 1.46 | 0.92 |
| 9 | ICICI Prudential Pharma Healthcare And Diagnostics (P.H.D) Fund | Sectoral-Pharma | Dharmesh Kakkad | 2,666 | Unrated | 17.65% | 21.38% | 18.56% | - | 2.79 | 0.13 | 0.75 | 0.87 |
| 10 | HDFC Balanced Advantage Fund | Hybrid | Gopal Agrawal | 55,605 | **** | 7.38% | 10.30% | 17.52% | 11.44% | 7.39 | 0.14 | 1.54 | 1.38 |
| 11 | HDFC Multi Cap Fund | Multi Cap | Gopal Agarwal | 5,790 | Unrated | 18.07% | 36.47% | - | - | - | - | - | - |
| 12 | Quant Active Fund | Multi Cap | Ankit A Pande | 4,337 | **** | 14.59% | 24.88% | 36.63% | 21.53% | 4.74 | 0.27 | 1.53 | 1.03 |
| 13 | HDFC Flexi cap Fund | Flexi Cap | Roshi Jain | 34,520 | ***** | 13.42% | 30.84% | 32.92% | 16.21% | 4.89 | 0.24 | 1.46 | 1 |

HDFC CHILDREN'S GIFT FUND



EQUITY LINKED SAVING SCHEME (ELSS)

| Sr. No. | Scheme Name | Fund Category | Fund Manager | AUM | Rating | 3-M | 1-Y | 3-Y | 5-Y |
|---------|-----------------------|---------------|------------------|--------|--------|--------|--------|--------|--------|
| 1 | Kotak Tax saver Fund | ELSS | Harsha Upadhyaya | 3,855 | **** | 10.91% | 24.81% | 25.47% | 15.08% |
| 2 | HDFC Tax Saver Fund | ELSS | Roshi Jain | 10,930 | ***** | 10.63% | 25.62% | 27.24% | 12.52% |
| 3 | Mirae Asset Tax Saver | ELSS | Neelesh Surana | 16,634 | *** | 10.67% | 19.33% | 25.09% | 15.81% |

PUBLIC PROVIDENT FUND (PPF)

| | |
|-------------------|--|
| Tenure | 15 years (Can be renewed in blocks of 5 years) |
| Interest rate | 7.10% |
| Investment Amount | Minimum Rs.500, Maximum Rs.1.5 lakh p.a. |
| Maturity Amount | Depends on the investment tenure |

LIFE INSURANCE



HDFC
Life
Sar utha ke jiyo!

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ICICI PRUDENTIAL
LIFE INSURANCE

iProtect Smart



BAJAJ | Allianz

Jiyo Befikar

Smart Protect Goal

HEALTH INSURANCE



HDFC
ERGO

Optima Restore



BAJAJ | Allianz

Relationship Beyond Insurance

Health Guard Policy



SECTION III
GLOBAL INVESTMENTS

PRINCIPAL PROTECTED STRUCTURED NOTES

S&P 500 INDEX
UNLIMITED GROWTH WITH DOWNSIDE PROTECTION

S&P 500 INDEX PRINCIPAL PROTECTED NOTES' KEY ADVANTAGES

Morgan Stanley
(Sample)

3 Year USD Worst of Phoenix Autocall Notes :

General Electric Company, Tesla Inc, Alphabet Inc and Moderna Inc.

Kotak NASDAQ 100 Fund of Fund

PGIM India Global Select Real Estate Securities FOF

PRINCIPAL PROTECTED STRUCTURED NOTES

| Auto call Trigger - Large cap Income | |
|---|-------------------|
| Tenor | 24 Months |
| Underlying | Tesla, MSFT, AAPL |
| Coupon | 12% |
| Auto call Trigger | 125% |
| Redemption Amount | 100% |

| Growth Participation - Technology | |
|--|----------------|
| Tenor | 24 Months |
| Underlying | MSFT, AAPL, FB |
| Cap Guarantee | 75% |
| Upside Participation | 200% |
| Strike Price | 87.50% |

| Growth Participation - Healthcare | |
|--|------------------------|
| Tenor | 24 Months |
| Underlying | Merc, Novartis, Abbvie |
| Cap Guarantee | 90% |
| Upside Participation | 200% |
| Strike Price | 95% |

| Real Estate Linked | |
|---------------------------|-------------------------------|
| Tenor | 24 Months |
| Underlying | Vanguard Real, Simon Property |
| Barrier | 80% |
| Upside Participation | 180% |
| Minimum Redemption | 200% |



iShares U.S. Real Estate ETF



Vanguard Real Estate ETF

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THANK YOU

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